

MON, OCT-20-97 3:26PM

4000 One Logan Square

Philadelphia, PA 19103-6993

215-963-5000

Fax: 215-963-5299

MORGAN LEWIS PHILA

215 963 5299

P. 02

**CONFIDENTIAL BUSINESS  
INFORMATION DELETED**

**Morgan, Lewis  
& Bockius LLP**

COUNSELORS AT LAW

8EHQ - 1097 - 14038

8EHQ-97-14038

2492 0000012

Scott C. Bovino  
215-963-5071

October 15, 1997

**VIA FIRST CLASS MAIL**

Document Control Office  
Attention: Section 8(e) Coordinator  
Office of Pollution Prevention and Toxics (7407)  
U.S. Environmental Protection Agency  
401 M Street, S.W.  
Washington, DC 20460

**COMPANY SANITIZED**

RECEIVED  
OPI/NCIC

57 OCT 22 AM 8:03

Re: TSCA Section 8(e) Notice for CAS Registry Number Substance 172491-73-5

EPA Section 8(e) Coordinator:

Enclosed is a bacterial mutagenicity assay report prepared by Huntingdon Life Sciences Ltd. for TDK Corporation of Japan ("TDK Japan"). This mutagenicity study indicates that CAS Registry Number Substance (referred to as in the study; hereinafter referred to as the TDK Substance), when tested in dimethyl formamide, shows evidence of mutagenic activity. TDK Electronics Corporation ("TEC") received a copy of the mutagenicity study from TDK Japan on July 29, 1996. TEC submitted a low volume exemption ("LVE") notice for the TDK Substance on April 3, 1996. That LVE notice cleared EPA review on May 3, 1996.

TEC initially evaluated the mutagenicity study for the TDK Substance in accordance with EPA's June 1991 TSCA Section 8(e) Reporting Guide (the "1991 Section 8(e) Guidance"). With respect to mutagenicity studies, the 1991 Section 8(e) Guidance (pg. 23) states, in relevant part, as follows:

"[A] positive in vitro genotoxicity test, when considered alone, is usually insufficient to cause reporting under Section 8(e). However, EPA believes that such information is of value in assessing the possible

|              |            |          |             |       |            |            |           |
|--------------|------------|----------|-------------|-------|------------|------------|-----------|
| Philadelphia | Washington | New York | Los Angeles | Miami | Harrisburg | Pittsburgh | Princeton |
|              | London     | Brussels | Frankfurt   | Tokyo | Singapore  | Jakarta    |           |

OCT-20-1997 15:25

215 963 5299

99%

P. 02

EPA Section 8(e) Coordinator  
October 15, 1997  
Page 2

**CONFIDENTIAL BUSINESS**  
**INFORMATION DELETED**

Morgan, Lewis  
& Bockius LLP

risk(s) posed by exposure to the tested chemical or mixture. Further, the Agency believes that a positive in vitro genotoxicity test result, in combination with other information (e.g., knowledge of actual/potential exposure to and/or high production of the tested chemical), would suggest the need, in many cases, to conduct further studies designed to determine the toxicity of or the exposure to that chemical.

Language identical to that cited above is also contained in a July 1989 TSCA Section 8(e) Question & Answer document issued by EPA (the "1989 Section 8(e) Q&A").

Based on the 1991 Section 8(e) Guidance, TEC concluded that it was not required to report the mutagenicity study for the TDK Substance under Section 8(e) of TSCA. In reaching this conclusion, TEC considered that: (1) the TDK Substance is imported in to the United States in small quantities (the LVE notice limit is ); and (2) actual/potential exposure to the TDK Substance is extremely low (the LVE notice indicates that two workers, wearing protective equipment, are exposed to the substance for a maximum of hours per day).

Recently, however, TEC obtained from EPA's TSCA Hotline a copy of a document entitled "EPA Response to December 26, 1991 'Working Paper'" (the "Section 8(e) Working Paper Response"). This document contains the following language concerning the reporting of mutagenicity studies under Section 8(e) of TSCA:

EPA's position has been and continues to be that a single positive in vitro genotoxicologic test finding, when considered alone, is typically not sufficient to offer reasonable support for a conclusion of substantial risk as those terms are used and described in the Agency's March 16, 1978, Section 8(e) policy statement . . . . It should be noted, however, that there are certain circumstances (e.g., a positive in vitro result that exceeds the positive control or a strong positive in vitro result involving a chemical to which there is actual wide-spread human exposure) that should receive immediate consideration for reporting under TSCA Section 8(e). Further, the Agency believes that a positive in vitro test result (e.g., an Ames mutagenicity test), when combined with knowledge of 1) actual or potential exposure to the subject chemical, or 2) high production levels of the subject chemical, indicates the need to conduct further genotoxicity and/or other toxicologic testing.

The Section 8(e) Working Paper Response appears to establish an additional situation for which reporting of a mutagenicity study is required under Section 8(e) of TSCA. Specifically,

**CONFIDENTIAL BUSINESS  
INFORMATION DELETED**Morgan, Lewis  
& Bockius LLPEPA Section 8(e) Coordinator  
October 15, 1997  
Page 3

the Section 8(e) Working Paper Response indicates that reporting may be required if the study demonstrates "a positive in vitro result that exceeds the positive control." Reporting in such a situation is clearly not contemplated under the 1991 Section 8(e) Guidance or the 1989 Section 8(e) Q&A. If EPA intends mutagenicity studies demonstrating "a positive in vitro result that exceeds the positive control" to be reportable under Section 8(e) of TSCA, a substantially more definitive pronouncement of this policy is required.

In addition, to the extent a mutagenicity study with "a positive in vitro result that exceeds the positive control" is reportable under Section 8(e) of TSCA, TEC is unable to determine whether the mutagenicity study for the TDK Substance is reportable. The Section 8(e) Working Paper Response does not explain when a positive in vitro result actually "exceeds" the positive control. A positive control is tested at a single, low concentration to determine whether the bacterial system being utilized is active. In contrast, a mutagenicity test substance is analyzed at various concentrations to determine whether an increase in concentration correlates with an increase in gene mutations. Typically, the concentrations at which the test substance is analyzed are much higher than the concentration at which the positive control is tested. Because of this difference in concentration, it makes no sense to ask whether the positive in vitro result "exceeds" the positive control. There is simply no reasonable basis by which to compare the test results for two substances analyzed at substantially different concentrations.

The mutagenicity study for the TDK Substance illustrates this point. The number of reverse mutation colonies per plate detected for the TDK Substance was greater than the number of reverse mutation colonies per plate detected for the positive control in two isolated situations (see pg. 21--TA 100 and pg. 25--TA 98). Neither of these test results was duplicated when the mutagenicity test was repeated. Furthermore, both test results were generated when the TDK Substance was tested at 5000 µg/plate, its highest concentration. In contrast, the positive control was tested at concentrations as low as 3.0 µg/plate and 0.5 µg/plate. Clearly, it is meaningless to compare such test figures.

In sum, TEC does not know whether EPA would consider the results of the mutagenicity study for the TDK Substance to be indicative of "a positive in vitro result that exceeds the positive control." Nevertheless, in an abundance of caution, TEC is submitting a copy of the mutagenicity study to EPA under Section 8(e) of TSCA. After reviewing this information, we hope that EPA will issue guidance clarifying when a positive in vitro result "exceeds" the positive control. In addition, we think it would be helpful if EPA publicized more widely and definitively its statement that such mutagenicity studies are, in fact, reportable under Section 8(e) of TSCA.

EPA Section 8(e) Coordinator  
October 15, 1997  
Page 4

**CONFIDENTIAL BUSINESS  
INFORMATION DELETED**

Morgan, Lewis  
& Bockius LLP

Please note that copies of this letter and the mutagenicity study with all confidential business information deleted are included for filing in the public docket. If you have any questions or comments, please feel free to contact me. Thank you for your attention to this matter.

Sincerely,



Scott C. Bovino

CONFIDENTIAL

CONFIDENTIAL BUSINESS  
INFORMATION DELETED

TDK 31B/962253

**NOTE**

This report is considered by the Study Director to be the 'final draft' and has been submitted to the Huntingdon Life Sciences Quality Assurance Department for Audit.

The sponsor is requested to review this document and communicate any comments to the Study Director as soon as possible. When these comments have been received and on completion of the QA audit, the FINAL REPORT containing Study Director and QA Statements will be issued.

**PLEASE NOTE**

In compliance with GLP any changes to the final report after the date of issue will be in the form of a separate amendment to the report.

Date: 15 July 1996

V.1.

**BACTERIAL MUTATION ASSAY**

**Sponsor**

1st Technical Center,  
TDK Corporation,  
462-1 Otai,  
Saku,  
Nagano-ken 389-02,  
JAPAN.

**Testing facility**

Huntingdon Life Sciences Ltd.,  
P.O. Box 2,  
Huntingdon,  
Cambridgeshire,  
PE18 6ES,  
ENGLAND.

Report issued

CONTENTS

|                                                          | Page |
|----------------------------------------------------------|------|
| COMPLIANCE WITH GOOD LABORATORY PRACTICE STANDARDS ..... | 4    |
| QUALITY ASSURANCE STATEMENT .....                        | 5    |
| RESPONSIBLE PERSONNEL .....                              | 6    |
| SUMMARY .....                                            | 7    |
| INTRODUCTION .....                                       | 8    |
| TEST SUBSTANCE .....                                     | 10   |
| EXPERIMENTAL PROCEDURE .....                             | 11   |
| RESULTS .....                                            | 16   |
| CONCLUSION .....                                         | 16   |
| REFERENCES .....                                         | 17   |
| FIGURES                                                  |      |
| 1. Mutation test 1 - in the absence of S-9 mix .....     | 18   |
| 2. Mutation test 1 - in the presence of S-9 mix .....    | 18   |
| 3. Mutation test 2 - in the absence of S-9 mix .....     | 19   |
| 4. Mutation test 2 - in the presence of S-9 mix .....    | 19   |

**Page**

**TABLES**

|    |                                                    |    |
|----|----------------------------------------------------|----|
| 1. | - Preliminary toxicity test .....                  | 20 |
| 2. | - Mutation test 1 - summary .....                  | 21 |
| 3. | - Mutation test 1 .....                            | 22 |
| 4. | Positive control materials - Mutation test 1 ..... | 24 |
| 5. | - Mutation test 2 - summary .....                  | 25 |
| 6. | - Mutation test 2 .....                            | 26 |
| 7. | Positive control materials - Mutation test 2 ..... | 28 |

**APPENDIX**

|    |                      |    |
|----|----------------------|----|
| 1. | Summary report ..... | 29 |
|----|----------------------|----|

**COMPLIANCE WITH GOOD LABORATORY PRACTICE STANDARDS**

The study described in this report was conducted in compliance with the following Good Laboratory Practice standards and I consider the data generated to be valid.

Good Laboratory Practice, The United Kingdom Compliance Programme, Department of Health & Social Security 1986 and subsequent revision, Department of Health 1989.

EC Council Directive, 87/18 EEC of 18 December 1986, (No. L 15/29).

Good Laboratory Practice in the testing of Chemicals OECD, ISBN 92-64-12367-9, Paris 1982, subsequently republished OECD Environment Monograph No. 45, 1992.

United States Environmental Protection Agency, (FIFRA), Title 40 Code of Federal Regulations Part 160, Federal Register, 29 November 1983 and subsequent amendment Federal Register 17 August 1989.

Japan Ministry of Agriculture, Forestry and Fisheries, 59 NohSan, Notification No. 3850, Agricultural Production Bureau, 10 August 1984.

United States Environmental Protection Agency, (FSCA), Title 40 Code of Federal Regulations Part 792, Federal Register, 29 November 1983 and subsequent amendment Federal Register 17 August 1989.

Japan Ministry of International Trade and Industry, Directive 31 March 1984 (Kanpogyo No. 39 Environmental Agency, Kikyoku No. 85 MITI).

United States Food and Drug Administration, Title 21 Code of Federal Regulations Part 58, Federal Register, 22 December 1978, and subsequent amendments.

Japan Ministry of Health and Welfare, Notification No. Yakuhatu 313 Pharmaceutical Affairs Bureau, 31 March 1982 and subsequent amendment Notification No. Yakuhatu 870, Pharmaceutical Affairs Bureau, 5 October 1988.

Ricarda A. Gant, B.Sc. (Hons.),  
Study Director,  
Huntingdon Life Sciences Ltd.

Date



**QUALITY ASSURANCE STATEMENT**

This report has been audited by Huntingdon Life Sciences Quality Assurance Department (Huntingdon). The methods, practices and procedures reported herein are an accurate description of those employed at Huntingdon during the course of the study. Observations and results presented in this final report form a true and accurate representation of the raw data generated during the conduct of the study at Huntingdon.

Certain studies such as that described in this report, are conducted at Huntingdon in a setting which involves frequent repetition of similar or identical procedures. At or about the time the study described in this report was in progress, 'process-based' inspections were made by the Quality Assurance Department of critical procedures relevant to this study type. The findings of these inspections were reported promptly to the Study Director and to Management, Huntingdon Life Sciences.

Date(s) of inspection

Date(s) of reporting inspection findings  
to the Study Director and Management

Date of reporting audit findings to the  
Study Director and Management

.....  
Rod Scammell,  
Audit Team Supervisor,  
Department of Quality Assurance,  
Huntingdon Life Sciences Ltd.

.....  
Date

**RESPONSIBLE PERSONNEL**

Ricarda A. Gant, B.Sc. (Hons.),  
Study Director,  
Department of Cellular Toxicology.

.....

**DRAFT**

### SUMMARY

In this *in vitro* assessment of the mutagenic potential of , histidine dependent auxotrophic mutants of *Salmonella typhimurium* (strains TA 1535, TA 1537, TA 98 and TA 100) and a tryptophan dependent mutant of *Escherichia coli* (WP2 *uvrA*) were exposed to the test substance, diluted in dimethyl formamide which was also used as a negative control.

Two independent mutation tests were performed, in the presence and absence of liver preparations from Aroclor 1254-induced rats.

In the preliminary toxicity test with dose levels of up to 5000  $\mu\text{g}$  active ingredient/plate no toxicity was observed. A top dose level of 5000  $\mu\text{g}$  active ingredient/plate was chosen for the subsequent mutation study. Other dose levels used in the mutation assays were: 2500, 1250, 625 and 312.5  $\mu\text{g}$  active ingredient/plate.

Substantial dose-related increases in revertant colony numbers were observed with TA 1535, TA 98 and TA 100 in the presence of S-9 mix.

The concurrent positive control compounds demonstrated the sensitivity of the assay and the metabolising activity of the liver preparations.

It is concluded that, when tested in dimethyl formamide, shows evidence of mutagenic activity in this bacterial system.

## INTRODUCTION

In the *in vitro* technique described by Ames and his co-workers, (Ames, McCann and Yamasaki 1975, Maron and Ames 1983) mutagenic effects are determined by exposing mutant strains of *Salmonella typhimurium* to various concentrations of the test substance. Normally *S. typhimurium* is capable of synthesising the amino acid histidine it requires for growth but the mutant strains used in this test are incapable of this function. When large populations of these strains are exposed to a mutagen, reverse mutation to the original histidine independent form takes place in a proportion of the bacterial population. These colonies (revertant) are readily detectable due to their ability to grow on a histidine deficient medium.

A technique based on similar principles has also been described by Green (1984). This system employs mutant strains of *Escherichia coli* which are incapable of synthesising the amino acid tryptophan required for growth.

The strains of *S. typhimurium* routinely used carry additional mutations which render them more sensitive to mutagens. All strains have a defective cell coat which allows greater permeability of test substances into the cell. All strains are deficient in normal DNA repair processes. In addition, strains TA 98 and TA 100 possess a plasmid (pKM101) which introduces an error-prone repair process, resulting in increased sensitivity to mutagens. The strain of *E. coli* used is defective in DNA repair processes.

Since many substances do not exert their mutagenic effect until they have been metabolised by enzyme systems not available in the bacterial cell, the test substance and the bacteria are incubated in the presence of a supplemented liver fraction (S-9 mix) prepared from rats previously treated with a compound (Aroclor 1254) known to induce a high level of enzymic activity.

This report describes a study designed to comply with the following guidelines:

OECD Guidelines for Testing of Chemicals No. 471: Genetic Toxicology: *Salmonella typhimurium*, Reverse Mutation Assay, 26 May 1983,

OECD Guidelines for Testing of Chemicals No. 472: Genetic Toxicology: *Escherichia coli*, Reverse Mutation Assay, 26 May 1983,

EEC Methods for Determination of Toxicity, Annex to Directive 92/69/EEC, (OJ No. L383A, 29.12.92), Part B, Method B.14. Other effects - Mutagenicity: *Salmonella typhimurium* - Reverse Mutation Assay,

EEC Methods for Determination of Toxicity, Annex to Directive 92/69/EEC, (OJ No. L383A, 29.12.92), Part B, Method B.13. Other effects - Mutagenicity: *Escherichia coli* - Reverse Mutation Assay,

US Environmental Protection Agency, Method: HG-Gene Muta - *S. typhimurium*: The *Salmonella typhimurium* reverse mutation assay, 1984,

Japanese, Ministry of Agricultural, Forestry and Fisheries: Notification of Director General, Agricultural Production Bureau, NohSan 4200, 28 January 1985,

Japanese, Ministry of Health and Welfare, Notification Yakushin 1 No. 24, 11 September 1989 Guidelines for Toxicity Studies of Drugs, 4 I, Bacterial Reverse Mutation Test,

Japanese, Ministry of International Trade & Industry, 61 Kikyoku No. 1014, 5 December 1986 and 62 Kikyoku No. 303, 31 March 1987,

Japanese, Ministry of Labour, Guidebook of Mutagenicity Tests, published 16 June 1987.

The protocol was approved by the Study Director on 28 May 1996, by Huntingdon Life Sciences Management on 21 December 1995 and by the Sponsor on 24 May 1996.

The experimental phase of the study was conducted between 4 and 28 June 1996.

**TEST SUBSTANCE**

**Identity:**

**Chemical name:**

**Intended use:**

Information not available to Huntingdon Life  
Sciences

**Appearance:**

powder

**Storage conditions:**

Room temperature in the dark

**Batch number:**

/G1113A1870

**Expiry date:**

Twelve months from date of receipt

**Purity:**

89.0%

**Date received:**

11 December 1995

**Supplier:**

TDK Corporation

## EXPERIMENTAL PROCEDURE

### BACTERIAL STRAINS

The following strains of *S. typhimurium* were used in the test:

- S. typhimurium* TA 1535 *hisG46 rfa uvrB*
- S. typhimurium* TA 1537 *hisC3076 rfa uvrB*
- S. typhimurium* TA 98 *hisD3052 rfa uvrB* pKM101
- S. typhimurium* TA 100 *hisG46 rfa uvrB* pKM101

The strains were obtained from Professor B.N. Ames, University of California, California, USA.

The strain of *E. coli* used was:

*E. coli* WP2 *uvrA trp*

It was obtained from the National Collection of Industrial Bacteria, Aberdeen, Scotland.

Batches of the strains were stored at  $-80^{\circ}\text{C}$ . Each batch of frozen strain was tested for cell membrane permeability and, where applicable, for the pKM101 plasmid which confers resistance to ampicillin. The response of the strains to a series of diagnostic mutagens was also assessed.

For use in tests an aliquot of frozen culture was added to 25 ml of nutrient broth (DAB 7, Merck) and incubated, with shaking, at  $37^{\circ}\text{C}$  for 10 hours. These cultures provided at least  $2 \times 10^9$  cells per ml which were measured photometrically.

### POSITIVE CONTROL COMPOUNDS

In the absence of S-9 mix

|               |                                                                                                                                                              |
|---------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Identity      | <i>N</i> -Ethyl- <i>N'</i> -nitro- <i>N</i> -nitrosoguanidine                                                                                                |
| Supplier      | Sigma                                                                                                                                                        |
| Batch         | 67F-3700                                                                                                                                                     |
| Appearance    | Pale yellow crystalline powder                                                                                                                               |
| Solvent       | Dimethyl sulphoxide                                                                                                                                          |
| Concentration | 5 $\mu\text{g}/\text{plate}$ for strain TA 1535<br>3 $\mu\text{g}/\text{plate}$ for strain TA 100<br>2 $\mu\text{g}/\text{plate}$ for strain WP2 <i>uvrA</i> |

Identity  
Supplier  
Batch  
Appearance  
Solvent  
Concentration

9-Aminoacridine  
Sigma  
96F-05641  
Yellow powder  
Dimethyl sulphoxide  
80 µg/plate for strain TA 1537

Identity  
Supplier  
Batch  
Appearance  
Solvent  
Concentration

2-Nitrofluorene  
Aldrich  
61896  
Beige powder  
Dimethyl sulphoxide  
1 µg/plate for strain TA 98

**In the presence of S-9 mix**

Identity  
Supplier  
Batch  
Appearance  
Solvent  
Concentration

2-Aminoanthracene  
Aldrich  
0013406  
Green powder  
Dimethyl sulphoxide  
2 µg/plate for strains TA 1535 and TA 1537  
0.5 µg/plate for strain TA 98  
1 µg/plate for strain TA 100  
10 µg/plate for strain WP2 *uvrA*

**PREPARATION OF S-9 FRACTION**

Species  
Sex  
Strain  
Source  
Age  
Weight  
Diet

Rat  
Male  
Sprague-Dawley derived  
Harlan Olac Ltd.  
7-8 weeks  
<300 g  
Biosure Rodent Diet LAD 1

Mixed function oxidase systems in the livers of a group of rats were stimulated by Aroclor 1254, administered as a single intra-peritoneal injection in Arachis oil at a dosage of 500 mg/kg bodyweight. On the fifth day after injection, following an overnight starvation, the rats were killed, and their livers aseptically removed.



The following steps were carried out at 0-4°C under aseptic conditions. The livers were placed in 0.15 M KCl (3 ml KCl : 1 g liver) before being transferred to an Ultra-Turrax homogeniser. Following preparation, the homogenates were centrifuged at 9000 g for 10 minutes. The supernatant fraction (S-9 fraction) was dispensed into aliquots and stored at -80°C until required. The efficacy of each batch of S-9 fraction was tested with the carcinogens 7,12-dimethylbenzanthracene and 2-aminoanthracene before use.

#### **PREPARATION OF S-9 MIX**

S-9 mix contained: S-9 fraction (10% v/v), MgCl<sub>2</sub> (8 mM), KCl (33 mM), sodium phosphate buffer pH 7.4 (100 mM), glucose-6-phosphate (5 mM), NADPH (4 mM), NADH (4 mM). All the cofactors were filter-sterilised before use.

#### **SELECTION OF SOLVENT**

Prior to commencing testing the solubility of the test substance was assessed. At 56.18 mg/ml (equivalent to 50 mg active ingredient/ml) was insoluble in dimethyl sulphoxide, ethanol and acetone, and soluble in dimethyl formamide. Solubility was not assessed in water as the Sponsor had indicated that was not soluble in aqueous solvents. Therefore dimethyl formamide was the chosen solvent for use in subsequent tests.

#### **PRELIMINARY TOXICITY TEST**

Four concentrations of test substance were assessed for toxicity using the five tester strains. The highest concentration was 50 mg active ingredient/ml of test substance in the chosen solvent, which provided a final concentration of 5000 µg active ingredient/plate. Three 10-fold serial dilutions of the highest concentration were also tested. The chosen solvent, dimethyl formamide, was used as the negative control.

An aliquot of 0.1 ml of a 10 hour bacterial culture and 0.5 ml S-9 mix or 0.5 ml 0.1 M phosphate buffer (pH 7.4) were placed in glass bottles. An aliquot of 0.1 ml of the test solution was added, followed immediately by 2 ml of histidine/tryptophan deficient agar. The mixture was thoroughly shaken and overlaid onto previously prepared petri dishes containing 25 ml minimal agar. A single petri dish was used for each dose level. Plates were also prepared without the addition of bacteria in order to assess the sterility of the test substance, S-9 mix and phosphate buffer. All plates were incubated at 37°C for 3 days. After this period the appearance of the background bacterial lawn was examined. Revertant colonies were counted using a Seescan Automatic Colony Counter.

Any toxic effects of the test substance can be detected by a substantial reduction in revertant colony counts or by the absence of a complete background bacterial lawn. In the absence of any toxic effects the top concentration used in the main tests is the same as that used in the preliminary toxicity test. If toxic effects are observed a lower concentration may be chosen for the main assays. Ideally the concentrations chosen for the mutation tests should include a minimum of four non-toxic concentrations.

#### **MUTATION TEST PROCEDURE**

The test substance was added to cultures of the five tester strains at five concentrations separated by 2-fold dilutions. The highest concentration of \_\_\_\_\_ used was 5000  $\mu\text{g}$  active ingredient/plate. The negative control was the chosen solvent, dimethyl formamide. The positive control compounds were also included.

An aliquot of 0.1 ml of a 10 hour bacterial culture and 0.5 ml S-9 mix or 0.5 ml 0.1 M phosphate buffer (pH 7.4) were placed in glass bottles. An aliquot of 0.1 ml of the test solution was added, followed immediately by 2 ml of histidine/tryptophan deficient agar. The mixture was thoroughly shaken and overlaid onto previously prepared petri dishes containing 25 ml minimal agar. Three petri dishes were used for each dose level. A set of plates were also prepared containing only bacterial culture and S-9 mix or phosphate buffer (0  $\mu\text{g}$ /plate). Plates were also prepared without the addition of bacteria in order to assess the sterility of the test substance, S-9 mix and phosphate buffer. All plates were incubated at 37°C for 3 days. After this period revertant colonies were counted using a Seescan Automatic Colony Counter.

At a later date the main test was repeated using the procedures described above with the same concentrations of test substance.

#### **STABILITY AND FORMULATION ANALYSIS**

The stability of the test substance and of the test substance in the solvent were not determined as part of this study. Analysis of achieved concentration was not performed as part of this study.

#### **ASSESSMENT OF RESULTS**

The mean number of revertant colonies for all treatment groups is compared with those obtained for solvent control groups. The mutagenic activity of a test substance is assessed by applying the following criteria:

- (a) If treatment with a test substance produces an increase in revertant colony numbers of at least twice the concurrent solvent controls, with some evidence of a positive dose-relationship, in two separate experiments, with any bacterial strain either in the presence or absence of S-9 mix, it is considered to show evidence of mutagenic activity in this test system. No statistical analysis is performed.

- (b) If treatment with a test substance does not produce reproducible increases of at least 1.5 times the concurrent solvent controls, at any dose level with any bacterial strain, it is considered to show no evidence of mutagenic activity in this test system. No statistical analysis is performed.
- (c) If the results obtained fail to satisfy the criteria for a clear "positive" or "negative" response given in paragraphs (a) and (b), the following approach is taken in order to resolve the issue of the substance's mutagenic activity in this test system.
  - (i) Repeat tests may be performed using modifications of the experimental method. These modifications include (but are not restricted to), the use of a narrower dose range than that already tested; the use of different levels of liver homogenate S-9 fraction in the S-9 mix. Should an increase in revertant colony numbers be observed which satisfies paragraph (a) the substance is considered to show evidence of mutagenic activity in this test system. No statistical analysis is performed.
  - (ii) If no clear "positive" response can be obtained the test data may be subjected to analysis to determine the statistical significance of any observed increases in revertant colony numbers. The statistical procedures used will be those described by Mahon *et al.* (1989) and will usually be analysis of variance followed by Dunnett's test.

#### **ARCHIVES**

All data are kept in a loose-leaved laboratory notebook which is held in the Department of Cellular Toxicology and later transferred, together with a copy of the final report, to the Archive Department, Huntingdon Life Sciences Ltd, Huntingdon, Cambridgeshire, UK for a minimum period of five years. At the end of the five year retention period the client will be contacted and advice sought on the future requirements. Under no circumstances will any item be discarded without the client's knowledge.

## RESULTS

The revertant colony counts for                      obtained in the preliminary toxicity test are shown in Table 1.                      was not toxic towards the tester strains. Therefore 5000  $\mu$ g active ingredient/plate was chosen as the top dose level in the mutation tests.

The mean numbers of revertant colonies obtained in the first mutation test are shown in Table 2.

The mean number of revertant colonies, together with the individual plate counts for                      obtained in the first mutation test with the tester strains are shown in Table 3. Positive control mutability checks are shown in Table 4.

Following treatment with                      in the first main mutation test, substantial dose-related increases in revertant colony numbers were observed with TA 100 and TA 1535 in the presence of S-9 mix.

The mean numbers of revertant colonies obtained in the second mutation test are shown in Table 5.

The mean number of revertant colonies, together with the individual plate counts for                      obtained in the second mutation test with the tester strains are shown in Table 6. Positive control mutability checks are shown in Table 7.

Following treatment with                      in the second mutation test, substantial dose-related increases in revertant colony numbers were observed with TA 100, TA 98 and TA 1535 in the presence of S-9 mix. A single increase in colony numbers was also seen with TA 100 at 5000  $\mu$ g active ingredient/plate.

The concurrent positive control compounds demonstrated the sensitivity of the assay and the metabolising activity of the liver preparations.

## CONCLUSION

It is concluded that, when tested in dimethyl formamide,                      activity in this bacterial system.

shows evidence of mutagenic

REFERENCES

- AMES, B.N., MCCANN, J., and YAMASAKI, E. (1975) Methods for detecting carcinogens and mutagens with the *Salmonella*/mammalian microsome mutagenicity test. *Mutation Research*, 31, 347.
- EEC (1993) EEC Methods for Determination of Toxicity, Annex to Directive 92/69/EEC, (OJ No. L383A, 29.12.92), Part B, Method B.14. Other effects - Mutagenicity: *Salmonella typhimurium* - Reverse Mutation Assay.
- EEC (1993) EEC Methods for Determination of Toxicity, Annex to Directive 92/69/EEC, (OJ No. L383A, 29.12.92), Part B, Method B.13. Other effects - Mutagenicity: *Escherichia coli* - Reverse Mutation Assay.
- EPA (1984) US Environmental Protection Agency, Method: HG-Gene Muta - *S. typhimurium*: The *Salmonella typhimurium* reverse mutation assay, 1984.
- GREEN, M.H.L. (1984) Mutagen testing using *trp*<sup>+</sup> reversion in *Escherichia coli* in: KILBEY, B.J., LEGATOR, M., NICHOLS, W. and RAMEL, C. (Eds). *Handbook of Mutagenicity Test Procedures*. Second edition, p.161. Elsevier Science Publishers BV, Amsterdam.
- JMAFF (1985) Japan, Ministry of Agriculture, Forestry and Fisheries, Notification of Director General, Agricultural Production Bureau, NohSan No. 4200, 28 January 1985.
- JMITI (1986 and 1987) Japan, Joint Directives of the EPA, MOHW and MITI: Kampo-gyo No. 700 (EPA), Yakuhatu No. 1039 (MOHW), 61 Kikyoku No. 1014 (MITI), 5 December 1986; Kampo-gyo No. 237 (EPA), Yakuhatu No. 306 (MOHW), 62 Kikyoku No. 303 (MITI), 31 March 1987.
- JMOHW (1989) Japan, Ministry of Health and Welfare, Notification Yakushin 1 No. 24, 11 September 1989, Guidelines for Toxicity Studies of Drugs, 4 I, Bacterial Reverse Mutation Test.
- JMOL (1987) Japan, Ministry of Labour, Guidebook of Mutagenicity Tests, Published 16 June 1987.
- MAHON, G.A.T., GREEN, M.H.L., MIDDLETON, B., MITCHELL, I.D.E.G., ROBINSON, W.D. and TWEATS, D.J. (1989) Analysis of data from microbial colony assay in: KIRKLAND, D.J. (Ed.) *UKEMS Subcommittee on Guidelines for Mutagenicity Testing. Report, Part III. Statistical Evaluation of Mutagenicity Test Data*, p.26. Cambridge University Press, Cambridge.
- MARON, D.M. and AMES, B.N. (1983) Revised methods for the *Salmonella* mutagenicity test. *Mutation Research*, 113, 173.
- OECD (1983) OECD Guidelines for Testing of Chemicals No. 471: Genetic Toxicology: *Salmonella typhimurium*, Reverse Mutation Assay, 26 May 1983.
- OECD (1983) OECD Guidelines for Testing of Chemicals No. 472: Genetic Toxicology: *Escherichia coli*, Reverse Mutation Assay, 26 May 1983.

FIGURE 1

Mutation Test 1 -S-9 mix

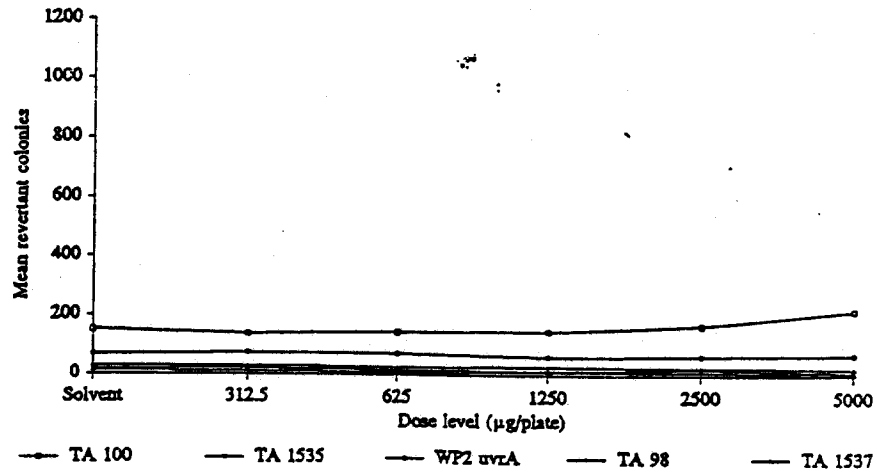


FIGURE 2

Mutation Test 1 +S-9 mix

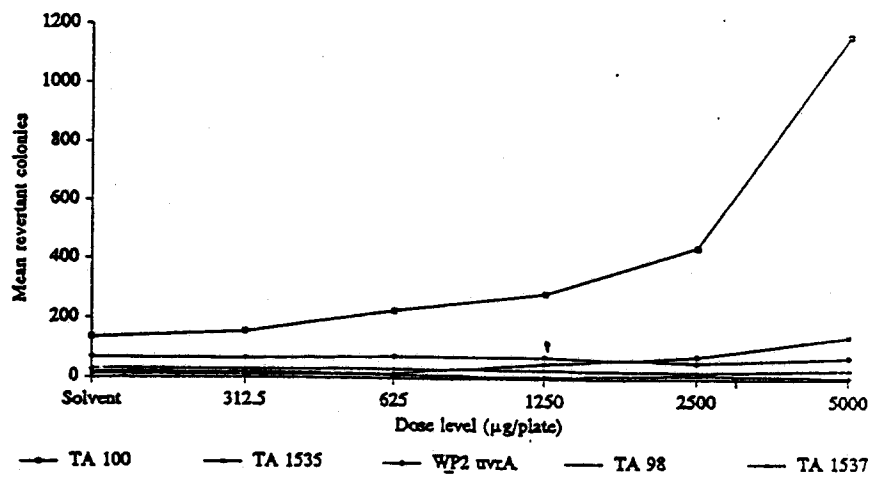


FIGURE 3

Mutation Test 2 -S-9 mix

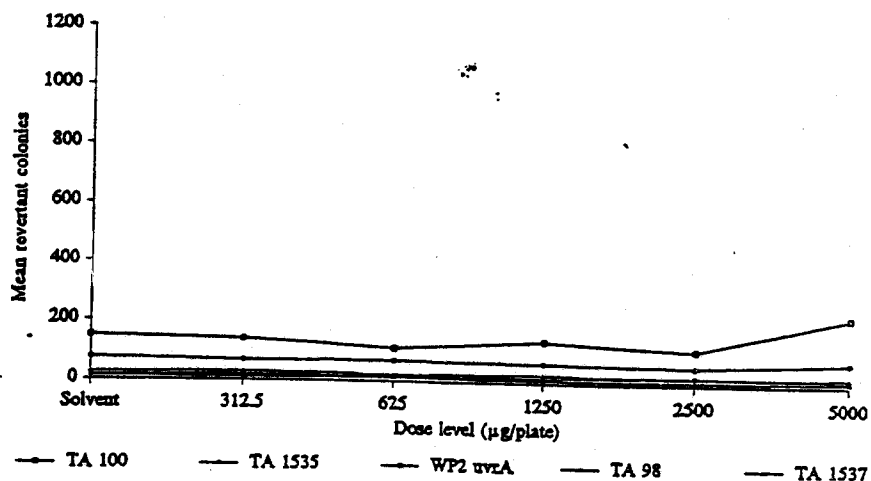
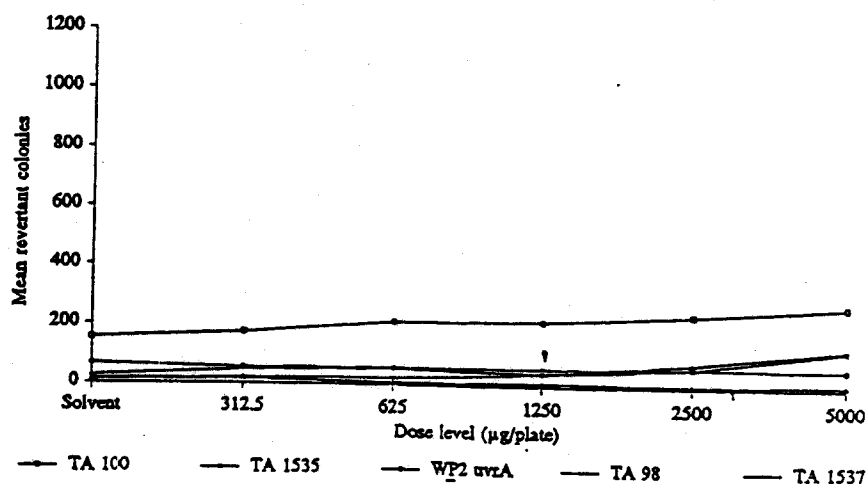


FIGURE 4

Mutation Test 2 +S-9 mix



**TABLE 1**

**Preliminary toxicity test on  
Revertant colony counts obtained**

| Material        | Test concentration<br>(µg active ingredient /plate) | With or without S-9 | Reverse mutation (number of colonies/plate) * |         |          |                  |         |
|-----------------|-----------------------------------------------------|---------------------|-----------------------------------------------|---------|----------|------------------|---------|
|                 |                                                     |                     | Base pair exchange type                       |         |          | Frame shift type |         |
|                 |                                                     |                     | TA 100                                        | TA 1535 | WP2 uvrA | TA 98            | TA 1537 |
| Solvent control |                                                     | -                   | 121                                           | 14      | 57       | 24               | 15      |
|                 | 5000                                                | -                   | 97P                                           | 15P     | 83P      | 31P              | 13P     |
|                 | 500                                                 | -                   | 168P                                          | 23P     | 58P      | 29P              | 13P     |
|                 | 50                                                  | -                   | 132                                           | 14      | 57       | 31               | 8       |
|                 | 5                                                   | -                   | 132                                           | 23      | 52       | 31               | 5       |
| Solvent control |                                                     | +                   | 116                                           | 20      | 54       | 22               | 17      |
|                 | 5000                                                | +                   | 1150P                                         | 108P    | 69P      | 37P              | 19P     |
|                 | 500                                                 | +                   | 201P                                          | 18P     | 53P      | 34P              | 11P     |
|                 | 50                                                  | +                   | 144                                           | 20      | 54       | 30               | 5       |
|                 | 5                                                   | +                   | 133                                           | 16      | 58       | 23               | 7       |

- Absence

+ Presence

P Precipitated

\* Single plates were used for this test



**TABLE 2**

- Mutation test 1  
 Mean revertant colonies obtained

| Material          | Test concentration<br>(µg active ingredient /plate) | With or without S-9   | Reverse mutation (number of colonies/plate) * |         |          |                  |         |
|-------------------|-----------------------------------------------------|-----------------------|-----------------------------------------------|---------|----------|------------------|---------|
|                   |                                                     |                       | Base pair exchange type                       |         |          | Frame shift type |         |
|                   |                                                     |                       | TA 100                                        | TA 1535 | WP2 uvrA | TA 98            | TA 1537 |
| Solvent control   |                                                     | -                     | 151                                           | 17      | 69       | 27               | 11      |
|                   | 5000.0                                              | -                     | 220P                                          | 11P     | 71P      | 23P              | 5P      |
|                   | 2500.0                                              | -                     | 167P                                          | 20P     | 64P      | 26P              | 10P     |
|                   | 1250.0                                              | -                     | 147P                                          | 13P     | 63P      | 27P              | 9P      |
|                   | 625.0                                               | -                     | 145P                                          | 16P     | 72P      | 25P              | 7P      |
|                   | 312.5                                               | -                     | 137P                                          | 19P     | 73P      | 28P              | 9P      |
|                   | 0.0                                                 | -                     | 158                                           | 19      | 72       | 27               | 10      |
| Solvent control   |                                                     | +                     | 136                                           | 20      | 69       | 29               | 12      |
|                   | 5000.0                                              | +                     | 1165P                                         | 143P    | 73P      | 29P              | 8P      |
|                   | 2500.0                                              | +                     | 441P                                          | 75P     | 53P      | 22P              | 11P     |
|                   | 1250.0                                              | +                     | 285P                                          | 50P     | 73P      | 27P              | 7P      |
|                   | 625.0                                               | +                     | 226P                                          | 16P     | 73P      | 30P              | 9P      |
|                   | 312.5                                               | +                     | 154P                                          | 18P     | 65P      | 28P              | 9P      |
|                   | 0.0                                                 | +                     | 155                                           | 20      | 69       | 27               | 11      |
| Positive controls | Name                                                |                       | ENNG                                          | ENNG    | ENNG     | NF               | 9 AC    |
|                   | Concentration (µg/plate)                            | Not Requiring S-9 mix | 3.0                                           | 5.0     | 2.0      | 1.0              | 80.0    |
|                   | Number of colonies/plate                            | -                     | 548                                           | 288     | 770      | 349              | X       |
|                   | Name                                                |                       | AA                                            | AA      | AA       | AA               | AA      |
|                   | Concentration (µg/plate)                            | Requiring S-9 mix     | 1.0                                           | 2.0     | 10.0     | 0.5              | 2.0     |
|                   | Number of colonies/plate                            | +                     | 527                                           | 185     | 340      | 126              | 80      |

- Absence
- + Presence
- P Precipitated
- X Too many colonies to count accurately
- ENNG *N*-Ethyl-*N'*-nitro-*N*-nitrosoguanidine
- 9 AC 9-Aminoacridine
- NF 2-Nitrofluorene
- AA 2-Aminoanthracene
- \* Values are the mean of 3 plates, for individual plate data see Tables 3 and 4

**TABLE 3**

**Mutation test 1**

- revertant colony counts obtained per plate using  
bacterial strains TA 100, TA 1535, WP2 *uvrA*, TA 98 and TA 1537

| Strain          | Dose level<br>(µg active<br>ingredient<br>/plate) | Liver<br>S-9 | Mean<br>revertant<br>colony<br>counts | SD    | Individual revertant<br>colony counts |
|-----------------|---------------------------------------------------|--------------|---------------------------------------|-------|---------------------------------------|
| TA 100          | Solvent                                           | -            | 151                                   | 15.9  | 133, 162, 159                         |
|                 | 5000.0                                            | -            | 220                                   | 22.7  | 224P, 196P, 241P                      |
|                 | 2500.0                                            | -            | 167                                   | 14.2  | 162P, 183P, 156P                      |
|                 | 1250.0                                            | -            | 147                                   | 24.2  | 130P, 137P, 175P                      |
|                 | 625.0                                             | -            | 145                                   | 20.8  | 169P, 134P, 132P                      |
|                 | 312.5                                             | -            | 137                                   | 20.7  | 159P, 133P, 118P                      |
|                 | 0.0                                               | -            | 158                                   | 15.1  | 175, 151, 147                         |
|                 | Solvent                                           | +            | 136                                   | 10.0  | 146, 135, 126                         |
|                 | 5000.0                                            | +            | 1165                                  | 183.5 | 1303P, 957P, 1236P                    |
|                 | 2500.0                                            | +            | 441                                   | 114.3 | 571P, 398P, 355P                      |
|                 | 1250.0                                            | +            | 285                                   | 11.2  | 297P, 275P, 282P                      |
|                 | 625.0                                             | +            | 226                                   | 14.2  | 239P, 211P, 229P                      |
|                 | 312.5                                             | +            | 154                                   | 8.0   | 162P, 154P, 146P                      |
|                 | 0.0                                               | +            | 155                                   | 12.9  | 170, 150, 146                         |
| TA 1535         | Solvent                                           | -            | 17                                    | 2.3   | 18, 14, 18                            |
|                 | 5000.0                                            | -            | 11                                    | 1.5   | 12P, 11P, 9P                          |
|                 | 2500.0                                            | -            | 20                                    | 1.2   | 19P, 21P, 19P                         |
|                 | 1250.0                                            | -            | 13                                    | 4.7   | 15P, 17P, 8P                          |
|                 | 625.0                                             | -            | 16                                    | 6.0   | 10P, 15P, 22P                         |
|                 | 312.5                                             | -            | 19                                    | 2.3   | 18P, 22P, 18P                         |
|                 | 0.0                                               | -            | 19                                    | 4.0   | 21, 21, 14                            |
|                 | Solvent                                           | +            | 20                                    | 0.0   | 20, 20, 20                            |
|                 | 5000.0                                            | +            | 143                                   | 38.3  | 185P, 110P, 134P                      |
|                 | 2500.0                                            | +            | 75                                    | 4.5   | 70P, 79P, 75P                         |
|                 | 1250.0                                            | +            | 50                                    | 8.7   | 54P, 56P, 40P                         |
|                 | 625.0                                             | +            | 16                                    | 4.2   | 13P, 15P, 21P                         |
|                 | 312.5                                             | +            | 18                                    | 2.6   | 20P, 15P, 19P                         |
|                 | 0.0                                               | +            | 20                                    | 2.1   | 18, 22, 19                            |
| WP2 <i>uvrA</i> | Solvent                                           | -            | 69                                    | 6.1   | 62, 73, 72                            |
|                 | 5000.0                                            | -            | 71                                    | 21.0  | 92P, 50P, 71P                         |
|                 | 2500.0                                            | -            | 64                                    | 12.5  | 65P, 76P, 51P                         |
|                 | 1250.0                                            | -            | 63                                    | 12.0  | 75P, 51P, 62P                         |
|                 | 625.0                                             | -            | 72                                    | 7.6   | 81P, 67P, 69P                         |
|                 | 312.5                                             | -            | 73                                    | 12.2  | 86P, 62P, 70P                         |
|                 | 0.0                                               | -            | 72                                    | 11.9  | 80, 58, 77                            |
|                 | Solvent                                           | +            | 69                                    | 16.0  | 52, 70, 84                            |
|                 | 5000.0                                            | +            | 73                                    | 9.1   | 83P, 72P, 65P                         |
|                 | 2500.0                                            | +            | 53                                    | 7.0   | 50P, 61P, 48P                         |
|                 | 1250.0                                            | +            | 73                                    | 17.7  | 89P, 76P, 54P                         |
|                 | 625.0                                             | +            | 73                                    | 13.6  | 62P, 68P, 88P                         |
|                 | 312.5                                             | +            | 65                                    | 3.5   | 65P, 62P, 69P                         |
|                 | 0.0                                               | +            | 69                                    | 7.8   | 63, 67, 78                            |

- Absence

+ Presence

SD Standard deviation

P Precipitated

TABLE 3

(continued)

## Mutation test 1

- revertant colony counts obtained per plate using  
bacterial strains TA 100, TA 1535, WP2 *uvrA*, TA 98 and TA 1537

| Strain  | Dose level<br>( $\mu$ g active<br>ingredient<br>/plate) | Liver<br>S-9 | Mean<br>revertant<br>colony<br>counts | SD  | Individual revertant<br>colony counts |
|---------|---------------------------------------------------------|--------------|---------------------------------------|-----|---------------------------------------|
| TA 98   | Solvent                                                 | -            | 27                                    | 3.6 | 31, 24, 26                            |
|         | 5000.0                                                  | -            | 23                                    | 4.5 | 23P, 18P, 27P                         |
|         | 2500.0                                                  | -            | 26                                    | 4.6 | 31P, 23P, 23P                         |
|         | 1250.0                                                  | -            | 27                                    | 0.6 | 27P, 27P, 28P                         |
|         | 625.0                                                   | -            | 25                                    | 6.1 | 20P, 24P, 32P                         |
|         | 312.5                                                   | -            | 28                                    | 1.5 | 29P, 28P, 26P                         |
|         | 0.0                                                     | -            | 27                                    | 1.5 | 25, 28, 27                            |
|         | Solvent                                                 | +            | 29                                    | 5.3 | 23, 31, 33                            |
|         | 5000.0                                                  | +            | 29                                    | 7.9 | 20P, 35P, 32P                         |
|         | 2500.0                                                  | +            | 22                                    | 5.9 | 20P, 18P, 29P                         |
|         | 1250.0                                                  | +            | 27                                    | 5.1 | 23P, 33P, 26P                         |
|         | 625.0                                                   | +            | 30                                    | 1.5 | 30P, 29P, 32P                         |
|         | 312.5                                                   | +            | 28                                    | 1.5 | 30P, 28P, 27P                         |
|         | 0.0                                                     | +            | 29                                    | 2.5 | 29, 31, 26                            |
| TA 1537 | Solvent                                                 | -            | 11                                    | 2.3 | 12, 8, 12                             |
|         | 5000.0                                                  | -            | 5                                     | 3.5 | 9P, 2P, 5P                            |
|         | 2500.0                                                  | -            | 10                                    | 2.1 | 8P, 11P, 12P                          |
|         | 1250.0                                                  | -            | 9                                     | 2.6 | 11P, 6P, 10P                          |
|         | 625.0                                                   | -            | 7                                     | 3.2 | 6P, 5P, 11P                           |
|         | 312.5                                                   | -            | 9                                     | 5.5 | 6P, 15P, 5P                           |
|         | 0.0                                                     | -            | 10                                    | 0.6 | 10, 11, 10                            |
|         | Solvent                                                 | +            | 12                                    | 1.5 | 12, 13, 10                            |
|         | 5000.0                                                  | +            | 8                                     | 4.7 | 4P, 6P, 13P                           |
|         | 2500.0                                                  | +            | 11                                    | 1.5 | 11P, 12P, 9P                          |
|         | 1250.0                                                  | +            | 7                                     | 1.5 | 8P, 5P, 7P                            |
|         | 625.0                                                   | +            | 9                                     | 3.2 | 5P, 11P, 10P                          |
|         | 312.5                                                   | +            | 9                                     | 0.6 | 10P, 9P, 9P                           |
|         | 0.0                                                     | +            | 11                                    | 1.5 | 10, 13, 11                            |

- Absence

+ Presence

SD Standard deviation

P Precipitated

**TABLE 4**

**Mutation test 1**

**Revertant colony counts obtained per plate with positive control compounds**

| Strain          | Compound | Dose level<br>( $\mu$ g/plate) | Liver<br>S-9 | Mean<br>revertant<br>colony<br>counts | SD   | Individual revertant<br>colony counts |
|-----------------|----------|--------------------------------|--------------|---------------------------------------|------|---------------------------------------|
| TA 100          | ENNG     | 3.0                            | -            | 548                                   | 41.5 | 593, 541, 511                         |
| TA 1535         | ENNG     | 5.0                            | -            | 288                                   | 8.5  | 280, 297, 288                         |
| WP2 <i>uvrA</i> | ENNG     | 2.0                            | -            | 770                                   | 47.8 | 823, 757, 730                         |
| TA 98           | NF       | 1.0                            | -            | 349                                   | 26.0 | 364, 364, 319                         |
| TA 1537         | 9 AC     | 80.0                           | -            | -                                     | -    | X, X, X                               |
| TA 100          | AA       | 1.0                            | +            | 527                                   | 29.1 | 494, 548, 540                         |
| TA 1535         | AA       | 2.0                            | +            | 185                                   | 68.5 | 264, 139, 153                         |
| WP2 <i>uvrA</i> | AA       | 10.0                           | +            | 340                                   | 55.6 | 283, 394, 343                         |
| TA 98           | AA       | 0.5                            | +            | 126                                   | 33.5 | 100, 115, 164                         |
| TA 1537         | AA       | 2.0                            | +            | 80                                    | 5.1  | 81, 74, 84                            |

- Absence  
+ Presence  
SD Standard deviation  
X Too many colonies to count accurately  
ENNG *N*-Ethyl-*N'*-nitro-*N*-nitrosoguanidine  
9 AC 9-Aminoacridine  
NF 2-Nitrofluorene  
AA 2-Aminoanthracene

**TABLE 5**

**- Mutation test 2**  
**Mean revertant colonies obtained**

| Material          | Test concentration<br>(µg active ingredient /plate) | With or without S-9   | Reverse mutation (number of colonies/plate) * |         |                 |                  |         |
|-------------------|-----------------------------------------------------|-----------------------|-----------------------------------------------|---------|-----------------|------------------|---------|
|                   |                                                     |                       | Base pair exchange type                       |         |                 | Frame shift type |         |
|                   |                                                     |                       | TA 100                                        | TA 1535 | WP2 <i>uvrA</i> | TA 98            | TA 1537 |
| Solvent control   |                                                     | -                     | 148                                           | 16      | 75              | 25               | 11      |
|                   | 5000.0                                              | -                     | 225P                                          | 18P     | 75P             | 26P              | 12P     |
|                   | 2500.0                                              | -                     | 113P                                          | 26P     | 57P             | 23P              | 9P      |
|                   | 1250.0                                              | -                     | 138P                                          | 18P     | 65P             | 28P              | 10P     |
|                   | 625.0                                               | -                     | 110P                                          | 17P     | 68P             | 24P              | 12P     |
|                   | 312.5                                               | -                     | 137P                                          | 18P     | 66P             | 28P              | 9P      |
|                   | 0.0                                                 | -                     | 143                                           | 17      | 74              | 31               | 9       |
| Solvent control   |                                                     | +                     | 153                                           | 16      | 66              | 25               | 9       |
|                   | 5000.0                                              | +                     | 273P                                          | 126P    | 62P             | 130P             | 9P      |
|                   | 2500.0                                              | +                     | 241P                                          | 65P     | 64P             | 78P              | 8P      |
|                   | 1250.0                                              | +                     | 216P                                          | 40P     | 58P             | 44P              | 9P      |
|                   | 625.0                                               | +                     | 210P                                          | 22P     | 57P             | 51P              | 8P      |
|                   | 312.5                                               | +                     | 172P                                          | 19P     | 55P             | 45P              | 14P     |
|                   | 0.0                                                 | +                     | 144                                           | 17      | 82              | 28               | 12      |
| Positive controls | Name                                                | Not Requiring S-9 mix | ENNG                                          | ENNG    | ENNG            | NF               | 9 AC    |
|                   | Concentration (µg/plate)                            |                       | 3.0                                           | 5.0     | 2.0             | 1.0              | 80.0    |
|                   | Number of colonies/plate                            | -                     | 405                                           | 185     | 721             | 288              | X       |
|                   | Name                                                | Requiring S-9 mix     | AA                                            | AA      | AA              | AA               | AA      |
|                   | Concentration (µg/plate)                            |                       | 1.0                                           | 2.0     | 10.0            | 0.5              | 2.0     |
|                   | Number of colonies/plate                            | +                     | 429                                           | 132     | 280             | 88               | 79      |

- Absence
- + Presence
- P Precipitated
- X Too many colonies to count accurately
- ENNG *N*-Ethyl-*N'*-nitro-*N*-nitrosoguanidine
- 9 AC 9-Aminoacridine
- NF 2-Nitrofluorene
- AA 2-Aminoanthracene
- \* Values are the mean of 3 plates, for individual plate data see Tables 6 and 7

TABLE 6

Mutation test 2

- revertant colony counts obtained per plate using  
bacterial strains TA 100, TA 1535, WP2 *uvrA*, TA 98 and TA 1537

| Strain          | Dose level<br>(µg active<br>ingredient<br>/plate) | Liver<br>S-9 | Mean<br>revertant<br>colony<br>counts | SD   | Individual revertant<br>colony counts |
|-----------------|---------------------------------------------------|--------------|---------------------------------------|------|---------------------------------------|
| TA 100          | Solvent                                           | -            | 148                                   | 8.7  | 144, 158, 142                         |
|                 | 5000.0                                            | -            | 225                                   | 11.2 | 221P, 238P, 217P                      |
|                 | 2500.0                                            | -            | 113                                   | 16.8 | 132P, 100P, 107P                      |
|                 | 1250.0                                            | -            | 138                                   | 20.8 | 114P, 146P, 153P                      |
|                 | 625.0                                             | -            | 110                                   | 12.2 | 121P, 97P, 113P                       |
|                 | 312.5                                             | -            | 137                                   | 6.8  | 145P, 132P, 135P                      |
|                 | 0.0                                               | -            | 143                                   | 11.4 | 156, 140, 134                         |
|                 | Solvent                                           | +            | 153                                   | 5.3  | 155, 147, 157                         |
|                 | 5000.0                                            | +            | 273                                   | 20.6 | 253P, 294P, 271P                      |
|                 | 2500.0                                            | +            | 241                                   | 16.9 | 229P, 233P, 260P                      |
|                 | 1250.0                                            | +            | 216                                   | 11.5 | 229P, 212P, 207P                      |
|                 | 625.0                                             | +            | 210                                   | 30.0 | 175P, 228P, 226P                      |
|                 | 312.5                                             | +            | 172                                   | 19.7 | 194P, 156P, 166P                      |
|                 | 0.0                                               | +            | 144                                   | 17.7 | 164, 132, 135                         |
| TA 1535         | Solvent                                           | -            | 16                                    | 3.5  | 13, 16, 20                            |
|                 | 5000.0                                            | -            | 18                                    | 2.6  | 17P, 16P, 21P                         |
|                 | 2500.0                                            | -            | 26                                    | 3.1  | 27P, 29P, 23P                         |
|                 | 1250.0                                            | -            | 18                                    | 1.5  | 18P, 17P, 20P                         |
|                 | 625.0                                             | -            | 17                                    | 4.7  | 15P, 13P, 22P                         |
|                 | 312.5                                             | -            | 18                                    | 7.6  | 20P, 10P, 25P                         |
|                 | 0.0                                               | -            | 17                                    | 2.6  | 18, 19, 14                            |
|                 | Solvent                                           | +            | 16                                    | 4.0  | 14, 14, 21                            |
|                 | 5000.0                                            | +            | 126                                   | 42.6 | 147P, 77P, 154P                       |
|                 | 2500.0                                            | +            | 65                                    | 12.1 | 51P, 72P, 72P                         |
|                 | 1250.0                                            | +            | 40                                    | 4.5  | 44P, 40P, 35P                         |
|                 | 625.0                                             | +            | 22                                    | 6.7  | 15P, 28P, 24P                         |
|                 | 312.5                                             | +            | 19                                    | 3.1  | 16P, 22P, 18P                         |
|                 | 0.0                                               | +            | 17                                    | 2.1  | 15, 19, 18                            |
| WP2 <i>uvrA</i> | Solvent                                           | -            | 75                                    | 11.4 | 84, 62, 78                            |
|                 | 5000.0                                            | -            | 75                                    | 10.3 | 72P, 66P, 86P                         |
|                 | 2500.0                                            | -            | 57                                    | 14.2 | 47P, 73P, 50P                         |
|                 | 1250.0                                            | -            | 65                                    | 14.6 | 67P, 78P, 49P                         |
|                 | 625.0                                             | -            | 68                                    | 17.6 | 48P, 78P, 79P                         |
|                 | 312.5                                             | -            | 66                                    | 5.5  | 66P, 71P, 60P                         |
|                 | 0.0                                               | -            | 74                                    | 5.9  | 70, 81, 72                            |
|                 | Solvent                                           | +            | 66                                    | 4.0  | 68, 61, 68                            |
|                 | 5000.0                                            | +            | 62                                    | 4.2  | 57P, 63P, 65P                         |
|                 | 2500.0                                            | +            | 64                                    | 6.7  | 57P, 70P, 66P                         |
|                 | 1250.0                                            | +            | 58                                    | 1.2  | 59P, 57P, 57P                         |
|                 | 625.0                                             | +            | 57                                    | 5.2  | 60P, 60P, 51P                         |
|                 | 312.5                                             | +            | 55                                    | 7.1  | 56P, 61P, 47P                         |
|                 | 0.0                                               | +            | 82                                    | 7.8  | 88, 84, 73                            |

- Absence

+ Presence

SD Standard deviation

P Precipitated

TABLE 6

(continued)

## Mutation test 2

- revertant colony counts obtained per plate using  
bacterial strains TA 100, TA 1535, WP2 *uvrA*, TA 98 and TA 1537

| Strain  | Dose level<br>(µg active<br>ingredient<br>/plate) | Liver<br>S-9 | Mean<br>revertant<br>colony<br>counts | SD   | Individual revertant<br>colony counts |
|---------|---------------------------------------------------|--------------|---------------------------------------|------|---------------------------------------|
| TA 98   | Solvent                                           | -            | 25                                    | 1.2  | 26, 24, 24                            |
|         | 5000.0                                            | -            | 26                                    | 6.1  | 27P, 19P, 31P                         |
|         | 2500.0                                            | -            | 23                                    | 5.7  | 21P, 18P, 29P                         |
|         | 1250.0                                            | -            | 28                                    | 2.5  | 26P, 28P, 31P                         |
|         | 625.0                                             | -            | 24                                    | 3.6  | 27P, 20P, 25P                         |
|         | 312.5                                             | -            | 28                                    | 3.0  | 28P, 31P, 25P                         |
|         | 0.0                                               | -            | 31                                    | 2.1  | 33, 30, 29                            |
|         | Solvent                                           | +            | 25                                    | 3.2  | 23, 24, 29                            |
|         | 5000.0                                            | +            | 130                                   | 35.6 | 120P, 101P, 170P                      |
|         | 2500.0                                            | +            | 78                                    | 3.2  | 77P, 76P, 82P                         |
|         | 1250.0                                            | +            | 44                                    | 7.5  | 48P, 48P, 35P                         |
|         | 625.0                                             | +            | 51                                    | 5.6  | 52P, 56P, 45P                         |
|         | 312.5                                             | +            | 45                                    | 12.6 | 58P, 43P, 33P                         |
|         | 0.0                                               | +            | 28                                    | 3.6  | 31, 24, 29                            |
| TA 1537 | Solvent                                           | -            | 11                                    | 3.2  | 7, 12, 13                             |
|         | 5000.0                                            | -            | 12                                    | 1.5  | 11P, 12P, 14P                         |
|         | 2500.0                                            | -            | 9                                     | 3.2  | 10P, 11P, 5P                          |
|         | 1250.0                                            | -            | 10                                    | 2.5  | 13P, 10P, 8P                          |
|         | 625.0                                             | -            | 12                                    | 4.2  | 7P, 13P, 15P                          |
|         | 312.5                                             | -            | 9                                     | 3.2  | 11P, 5P, 10P                          |
|         | 0.0                                               | -            | 9                                     | 2.5  | 7, 12, 9                              |
|         | Solvent                                           | +            | 9                                     | 2.3  | 12, 8, 8                              |
|         | 5000.0                                            | +            | 9                                     | 5.8  | 6P, 16P, 6P                           |
|         | 2500.0                                            | +            | 8                                     | 1.2  | 9P, 7P, 9P                            |
|         | 1250.0                                            | +            | 9                                     | 1.5  | 11P, 9P, 8P                           |
|         | 625.0                                             | +            | 8                                     | 2.0  | 10P, 6P, 8P                           |
|         | 312.5                                             | +            | 14                                    | 2.1  | 13P, 12P, 16P                         |
|         | 0.0                                               | +            | 12                                    | 2.6  | 14, 13, 9                             |

- Absence

+ Presence

SD Standard deviation

P Precipitated

TABLE 7

Mutation test 2

Revertant colony counts obtained per plate with positive control compounds

| Strain          | Compound | Dose level<br>( $\mu$ g/plate) | Liver<br>S-9 | Mean<br>revertant<br>colony<br>counts | SD   | Individual revertant<br>colony counts |
|-----------------|----------|--------------------------------|--------------|---------------------------------------|------|---------------------------------------|
| TA 100          | ENNG     | 3.0                            | -            | 405                                   | 32.3 | 381, 393, 442                         |
| TA 1535         | ENNG     | 5.0                            | -            | 185                                   | 7.4  | 191, 177, 188                         |
| WP2 <i>uvrA</i> | ENNG     | 2.0                            | -            | 721                                   | 29.4 | 688, 729, 745                         |
| TA 98           | NF       | 1.0                            | -            | 288                                   | 42.0 | 319, 240, 304                         |
| TA 1537         | 9 AC     | 80.0                           | -            | -                                     | -    | X, X, X                               |
| TA 100          | AA       | 1.0                            | +            | 429                                   | 8.2  | 436, 431, 420                         |
| TA 1535         | AA       | 2.0                            | +            | 132                                   | 14.7 | 145, 135, 116                         |
| WP2 <i>uvrA</i> | AA       | 10.0                           | +            | 280                                   | 15.3 | 293, 263, 283                         |
| TA 98           | AA       | 0.5                            | +            | 88                                    | 6.0  | 82, 87, 94                            |
| TA 1537         | AA       | 2.0                            | +            | 79                                    | 14.2 | 74, 95, 68                            |

- Absence  
+ Presence  
SD Standard deviation  
X Too many colonies to count accurately  
ENNG *N*-Ethyl-*N'*-nitro-*N*-nitrosoguanidine  
9 AC 9-Aminoacridine  
NF 2-Nitrofluorene  
AA 2-Aminoanthracene



**APPENDIX 1**

**Summary report**

**1. GENERAL INFORMATION (information available to testing facility)**

| Name of chemical<br>(IUPAC nomenclature)                                                              |            |                                                                       |                                    |                            |                   |
|-------------------------------------------------------------------------------------------------------|------------|-----------------------------------------------------------------------|------------------------------------|----------------------------|-------------------|
| Alternative names                                                                                     |            | Physico-chemical<br>properties<br>of the new<br>chemical<br>substance | Molecular weight                   |                            |                   |
|                                                                                                       |            |                                                                       | Appearance at ordinary temperature | powder                     |                   |
|                                                                                                       |            |                                                                       | Stability                          |                            |                   |
| Structural formula or empirical formula (or outline of manufacturing method in case both are unknown) |            |                                                                       | Melting point                      |                            |                   |
|                                                                                                       |            |                                                                       | Boiling point                      |                            |                   |
|                                                                                                       |            |                                                                       | Vapour pressure                    |                            |                   |
| CAS number                                                                                            | No         |                                                                       | Partition coefficient              |                            |                   |
| Lot number                                                                                            | G1113A1870 |                                                                       | Solubility                         | 50 mg active ingredient/ml |                   |
| Purity                                                                                                | 89.0%      |                                                                       | Degree of solubility               | Water                      | No                |
| Name and concentration of impurities                                                                  |            |                                                                       |                                    | DMSO                       | Insoluble         |
|                                                                                                       |            |                                                                       |                                    | Acetone                    | Insoluble         |
|                                                                                                       |            |                                                                       |                                    | Other Ethanol DMF *        | Insoluble Soluble |

DMF \* Dimethyl formamide

**2. TESTER STRAINS**

**(1) Source**

| Bacterial strain | Obtained from                                                                     | Date            | Date frozen batch checked for strain characteristics |
|------------------|-----------------------------------------------------------------------------------|-----------------|------------------------------------------------------|
| TA 1535          | Prof. B.N. Ames,<br>University of California,<br>Berkeley,<br>California, U.S.A.. | 30 August 1979  | 29 March 1996                                        |
| TA 1537          |                                                                                   | 30 August 1979  | 29 March 1996                                        |
| TA 98            |                                                                                   | 30 August 1979  | 29 March 1996                                        |
| TA 100           |                                                                                   | 9 November 1979 | 29 March 1996                                        |
| WP2 <i>uvrA</i>  | National Collection of Industrial Bacteria,<br>Aberdeen,<br>Scotland.             | 17 August 1978  | 29 March 1996                                        |

# APPENDIX 1

(continued)

## (2) Storage

| Storage             | 2. Large scale freezing      |                 |
|---------------------|------------------------------|-----------------|
| Storage temperature | c. -80°C                     |                 |
| Composition         | Bacterial suspension: 0.2 ml | DMSO: 0.0175 ml |
|                     | Other ( ): None              |                 |

## 3. S-9 MIX

### (1) Source of S-9

|                  |             |             |
|------------------|-------------|-------------|
| 1. Made in-house | Prepared on | 14 May 1996 |
|------------------|-------------|-------------|

### (2) Storage of S-9

|                     |          |                                     |                                                  |
|---------------------|----------|-------------------------------------|--------------------------------------------------|
| Storage temperature | c. -80°C | Name and model of storage apparatus | ScienTemp -85°C 88 L chest freezer, model 85-3.1 |
|---------------------|----------|-------------------------------------|--------------------------------------------------|

### (3) Preparation of S-9

| Animal used    |                    | Inducing substance               |                                  |
|----------------|--------------------|----------------------------------|----------------------------------|
| Species Strain | Rat Sprague-Dawley | Name                             | Aroclor 1254                     |
| Sex            | Male               | Administration method            | Single intraperitoneal injection |
| Age (in weeks) | 7 - 8              | Administration period and amount | 5 days                           |
| Weight         | <300 g             |                                  | 500 mg/kg bodyweight             |

### (4) Composition of S-9 mix

| Constituents        | Amount in 1 ml S-9 mix | Constituents        | Amount in 1 ml S-9 mix |
|---------------------|------------------------|---------------------|------------------------|
| S-9                 | 0.1 ml                 | NADPH               | 4 µmol                 |
| MgCl <sub>2</sub>   | 8 µmol                 | NADH                | 4 µmol                 |
| KCl                 | 33 µmol                | Na-phosphate buffer | 100 µmol               |
| Glucose-6-phosphate | 5 µmol                 |                     |                        |

APPENDIX 1

(continued)

4. POSITIVE CONTROL SUBSTANCES AND THEIR SOLVENTS

| Substance                                             |                                                               | Supplier                                                        | Lot-number | Grade                       | Purity | Solvent |
|-------------------------------------------------------|---------------------------------------------------------------|-----------------------------------------------------------------|------------|-----------------------------|--------|---------|
| Positive control substance                            | <i>N</i> -Ethyl- <i>N'</i> -nitro- <i>N</i> -nitrosoguanidine | Sigma                                                           | 67F-3700   | Standard laboratory reagent | 98 %   | DMSO    |
|                                                       | 9-Aminoacridine                                               | Sigma                                                           | 96F-05641  |                             | > 98 % | DMSO    |
|                                                       | 2-Nitrofluorene                                               | Aldrich                                                         | 61896      |                             | 98 %   | DMSO    |
|                                                       | 2-Aminoanthracene                                             | Aldrich                                                         | 0013406    |                             | 96 %   | DMSO    |
| Solvent                                               | Dimethyl sulphoxide                                           | Fisons FSA                                                      | 9506286325 | Analytical reagent          | 99.8 % |         |
| Preparation and storage of positive control solutions |                                                               | 2. Stock solutions prepared periodically and stored at 4°C      |            |                             |        |         |
| Positive control stock solutions prepared             |                                                               | First main test: 17 June 1996<br>Second main test: 17 June 1996 |            |                             |        |         |

5. PREPARATION OF THE TEST SUBSTANCE SOLUTION

| Solvent used                                                            | Name                                                                               | Manufacturer | Lot number | Grade | Purity |
|-------------------------------------------------------------------------|------------------------------------------------------------------------------------|--------------|------------|-------|--------|
|                                                                         | DMF                                                                                | Fisons FSA   | 43         | AR    | 99 %   |
| Stability of the test substance in the solvent                          | Not assessed in this test                                                          |              |            |       |        |
| Reason for selection of solvent                                         | Soluble                                                                            |              |            |       |        |
| Method of suspension etc. when test substance is difficult to dissolve  |                                                                                    |              |            |       |        |
| Storage time and temperature from preparation of the solution to dosing | Dosed within 1 hour of preparation and stored at room temperature during this time |              |            |       |        |
| Purity conversion (indicate appropriate response)                       | Yes No ✓                                                                           |              |            |       |        |

## APPENDIX 1

(continued)

### 6. CONDITIONS OF PRE-CULTURE

#### (1) Conditions

|                                                                                      |        |                                            |                              |            |
|--------------------------------------------------------------------------------------|--------|--------------------------------------------|------------------------------|------------|
| Nutrient broth                                                                       |        | Name                                       | Manufacturer                 | Lot number |
|                                                                                      |        | DAB 7                                      | Merck Ltd.                   | V430234406 |
| Pre-culture time and temperature                                                     |        | 10 hours at 37°C                           |                              |            |
| Storage time and temperature from inoculation of stock strains to shaking of culture |        | c. 6 hours at room temperature             |                              |            |
| Storage time and temperature from completion of shaking to usage                     |        | c. 4 hours at 4°C                          |                              |            |
| Model and manufacturer of culture shaking apparatus                                  |        | Luckham R300 Benchtop Incubator Shaker     |                              |            |
| Shaking method (style and frequency)                                                 |        | Circular shaking at c. 200 revs per minute |                              |            |
| Culture vessel (shape and capacity)                                                  |        | 100 ml Medical flat bottles                |                              |            |
| Volume of culture liquid                                                             |        | 25 ml                                      |                              |            |
| Inoculum volume                                                                      | 200 µl | Inoculated cell number                     | c. 4 x 10 <sup>8</sup> cells |            |

#### (2) Number of cells at completion of pre-culture

|                                              |                          | Base pair exchange type       |         |                 | Frame shift type |         |
|----------------------------------------------|--------------------------|-------------------------------|---------|-----------------|------------------|---------|
|                                              |                          | TA 100                        | TA 1535 | WP2 <i>uvrA</i> | TA 98            | TA 1537 |
| Bacterial cell count (x 10 <sup>9</sup> /ml) | Dose range finding study | 4.2                           | 3.9     | 11.5            | 4.7              | 5.0     |
|                                              | First main test          | 3.9                           | 4.4     | 11.3            | 4.2              | 4.7     |
|                                              | Second main test         | 3.8                           | 5.0     | 11.4            | 4.7              | 4.2     |
| Measuring method                             |                          | 1. Conversion from O.D. value |         |                 |                  |         |

### 7. AGAR PLATE MEDIUM

#### (1) Top agar

|      |              |                                       |
|------|--------------|---------------------------------------|
| Agar | Name         | Agar (bacteriological grade)          |
|      | Manufacturer | Gibco Europe Ltd., Paisley, Scotland. |
|      | Lot number   | 20H2163B                              |

**APPENDIX 1**

(continued)

**(2) Minimal glucose agar**

|                                                   |                                                                                                        |
|---------------------------------------------------|--------------------------------------------------------------------------------------------------------|
| 1. Made in-house                                  | Prepared on 3 June 1996 Toxicity test<br>13 & 14 June 1996 First test<br>21 & 24 June 1996 Second test |
| Name of agar used, manufacturer, lot number, etc. | Bacteriological grade, Gibco Europe Ltd.,<br>Lot number: V430234406                                    |
| Volume of agar plate medium                       | 25 ml                                                                                                  |

**8. STERILITY TEST (indicate appropriate response)**

|                         | Bacterial contamination |      |
|-------------------------|-------------------------|------|
| Test substance solution | Yes                     | No ✓ |
| S-9 Mix                 | Yes                     | No ✓ |

**9. TEST METHOD**

**(1) Test method (indicate method used)**

|                          |
|--------------------------|
| 1. Pre-incubation method |
| 2. Plate method ✓        |

**(2) Test condition**

|                |                                                        | Pre-incubation<br>method | Plate method |
|----------------|--------------------------------------------------------|--------------------------|--------------|
| Composition    | Bacterial suspension                                   | N/A                      | 0.1 ml       |
|                | Test substance solution                                | N/A                      | 0.1 ml       |
|                | Na-phosphate buffer                                    | N/A                      | 0.5 ml       |
|                | S-9 mix (in case of<br>metabolic activation<br>method) | N/A                      | 0.5 ml       |
|                | Top agar solution                                      | N/A                      | 2.0 ml       |
|                | Others ( )                                             | N/A                      | None         |
| Pre-incubation | Temperature                                            | N/A                      | N/A          |
|                | Time                                                   | N/A                      | N/A          |
| Incubation     | Temperature                                            | N/A                      | 37°C         |
|                | Time                                                   | N/A                      | c. 72 hours  |

**APPENDIX 1**

(continued)

**10. METHOD OF COLONY COUNTING (indicate method used)**

|                                                              |                                                      |
|--------------------------------------------------------------|------------------------------------------------------|
|                                                              | 1. Manual measurement<br>2. Mechanical measurement ✓ |
| Reason for the combined use of methods 1 and 2 if applicable |                                                      |
| Model and supplier of colony counter                         | Seescan model COL Colony counter                     |
| Correction method                                            | 1. No correction                                     |

**11. TEST RESULTS**

(1) Test results are reported in Tables 1 - 7

(2) Judgement of the results

|                                                                                                                                                                  |            |          |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------|
| Judgement (indicate one)                                                                                                                                         | Positive ✓ | Negative |
| Reason for judgement:<br>Substantial dose-related increases in revertant colony numbers were observed with TA 1535, TA 98 and TA 100 in the presence of S-9 mix. |            |          |

(3) Comments

|                                                                                    |                                      |
|------------------------------------------------------------------------------------|--------------------------------------|
| It is concluded that, when tested in dimethyl formamide, in this bacterial system. | shows evidence of mutagenic activity |
|------------------------------------------------------------------------------------|--------------------------------------|

**APPENDIX 1**

(continued)

**12. OTHERS**

|                                                               |                                         |                                                                                   |                                                |                                                 |
|---------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------|------------------------------------------------|-------------------------------------------------|
| Testing institution                                           | Name                                    | Huntingdon Life Sciences Ltd                                                      |                                                |                                                 |
|                                                               | Address                                 | Huntingdon, Cambridgeshire, Gt. Britain. Tel.: 01480-890431<br>Fax.: 01480-890693 |                                                |                                                 |
| Administrator                                                 | Name/Title                              | Dr. J.A. Allen<br>Director, Laboratory Sciences Division                          |                                                |                                                 |
| Quality Assurance Director<br>(Also responsible for archives) | Name/Title                              | Dr. D.J. Ford<br>Quality Director                                                 |                                                |                                                 |
| Study Director                                                | Name/Title                              | Miss Ricarda A. Gant<br>Study Director<br>Department of Cellular Toxicology       |                                                |                                                 |
|                                                               | Years of experience                     | 8 years                                                                           | Final educational career and specialised field | University of Birmingham<br>Biological Sciences |
| Study Supervisor                                              | Miss Ricarda A. Gant (details as above) |                                                                                   |                                                |                                                 |
| Test dates                                                    | From 4 to 28 June 1996                  |                                                                                   |                                                |                                                 |
| Test number                                                   | TDK 31B                                 |                                                                                   |                                                |                                                 |